



**BEST AVAILABLE COPY**

#4

COPY OF PAPERS  
ORIGINALLY FILED

23

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Breen et al.  
Serial No.: 09/998,007  
Filed: November 29, 2001  
For: MATERIALS AND METHODS FOR IMMOBILIZATION OF  
CATALYSTS ON SURFACES AND FOR SELECTIVE  
ELECTROLESS METALLIZATION  
Art Unit: 1741  
Examiner: Not yet assigned  
Attorney Docket No.: YOR920010643US1  
Confirmation No.: 3871

**BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231**

Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND  
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

- XXX** Declaration and Power of Attorney form executed by Tricia L. Breen, Sarah J. Vella, Ali Afzali-Ardakani and Mahmoud M. Khojasteh;
- XXX** Associate Power of Attorney;
- Declaration Claiming Small Entity Status (Small Business Concern);
- XXX** **6** Sheets of Formal Drawings;
- XXX** An Assignment of the Invention to : **INTERNATIONAL BUSINESS MACHINES CORPORATION**, including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- XXX** Postcard;
- A Certified Copy of the Priority Application;
- Information Disclosure Statement (Form - 1449); and

# BEST AVAILABLE COPY

XXX A copy of the Notice to File Missing Parts of Application Filing Date Granted.

\_\_\_\_ The undersigned attorney has verified that the application is entitled to a Small Entity Status;

\_\_\_\_ Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$740.00
Total Claims	56 - 20 =	36	x \$18.00	\$648.00
Independent Claims	7 - 3 =	4	x \$80.00	\$336.00
Multiple Dependent Claim Fee		x \$270.00 = \$0.00		
TOTAL FILING FEE		\$1,724.00		

1/2 FILING FEE FOR SMALL ENTITY	\$0
---------------------------------	-----

XXX A check in the amount of \$ 1,894.00 to cover the filing fee (\$1,724.00), surcharge (\$130.00), and Assignment recordation fee (\$40.00) is enclosed.

XXX The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 50-0510**. A duplicate copy of this Form is enclosed.

February 27, 2002  
Date

John F. Ohlandt, Esq.  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
Attorney for Applicant(s)  
Registration No. 19,615  
Telephone: (203) 327-4500  
Telefax: (203) 327-6401

## CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON FEBRUARY 27, 2002.

Kenroy A. Browne  
NAME.

Kenroy A. Browne  
SIGNATURE

02/27/02  
DATE



#4  
COPY OF PAPERS  
ORIGINALLY FILED

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/998,007	11/29/2001	Tricia Lynn Breen	YOR920010643/0644

CONFIRMATION NO. 3871

FORMALITIES LETTER



\*OC000000007237720\*

Paul D. Greeley, Esq.  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
10th Floor  
One Landmark Square  
Stamford, CT 06901-2682

Date Mailed: 12/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/14/2002 SZEWDIE1 00000053 09998007

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:102	336.00 OP
04 FC:103	648.00 OP

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$984.
  - \$648 for 36 total claims over 20.
  - \$336 for 4 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1854.**

*A copy of this notice **MUST** be returned with the reply.*

*AJ*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE